|                              |      | (Original Signature of Member) |
|------------------------------|------|--------------------------------|
| 116TH CONGRESS<br>2D SESSION | H.R. |                                |

To protect federally-funded academic research from undue foreign influences and threats by better informing the academic and research communities about such influences and threats, and for other purposes.

## IN THE HOUSE OF REPRESENTATIVES

| Mr. | RESCHENTHALER | introduced | the | following | bill; | which | was | referred | to | the |
|-----|---------------|------------|-----|-----------|-------|-------|-----|----------|----|-----|
|     | Committ       | tee on     |     |           |       |       |     |          |    |     |
|     |               |            |     |           |       |       |     |          |    |     |

## A BILL

To protect federally-funded academic research from undue foreign influences and threats by better informing the academic and research communities about such influences and threats, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Academic Research
- 5 Protection Act".

| 1  | SEC. 2. DESIGNATION OF ACADEMIC LIAISON TO PROTECT       |
|----|--|
| 2  | AGAINST EMERGING THREATS.                                |
| 3  | (a) In General.—Not later than 180 days after the        |
| 4  | date of the enactment of this Act, the Secretary of De-  |
| 5  | fense, acting through the Under Secretary of Defense for |
| 6  | Research and Engineering, shall take the following ac-   |
| 7  | tions:   |
| 8  | (1) Designate an official serving within the Of-         |
| 9  | fice of the Under Secretary of Defense for Research      |
| 10 | and Engineering to work with the academic and re-        |
| 11 | search communities to protect academic research          |
| 12 | funded by the Department of Defense from undue           |
| 13 | foreign influences and threats.                          |
| 14 | (2) Set forth the responsibilities of the official       |
| 15 | designated under paragraph (1), including—               |
| 16 | (A) serving as the liaison of the Depart-                |
| 17 | ment of Defense with the academic and re-                |
| 18 | search communities;                                      |
| 19 | (B) carrying out initiatives of the Depart-              |
| 20 | ment related to the protection of academic re-           |
| 21 | search funded by the Department from undue               |
| 22 | foreign influences and threats, including the ini-       |
| 23 | tiative established under section 1286 of the            |
| 24 | National Defense Authorization Act for Fiscal            |
| 25 | Year 2019 (10 U.S.C. 2358 note);                         |

| 1  | (C) not less frequently than once a year,                |
|----|--|
| 2  | conducting outreach and education activities for         |
| 3  | the academic and research community about                |
| 4  | undue foreign influences and threats to aca-             |
| 5  | demic research that is funded by the Depart-             |
| 6  | ment;  |
| 7  | (D) coordinating and aligning the policies               |
| 8  | relating to academic research security of—               |
| 9  | (i) the elements of the Department                       |
| 10 | specified in section 111(b) of title 10,                 |
| 11 | United States Code;                                      |
| 12 | (ii) the intelligence community;                         |
| 13 | (iii) Federal science agencies;                          |
| 14 | (iv) the Office of Science and Tech-                     |
| 15 | nology Policy; and                                       |
| 16 | (v) Federal regulatory agencies; and                     |
| 17 | (E) working with the intelligence commu-                 |
| 18 | nity to the maximum extent practicable to share          |
| 19 | with the academic and research communities, at           |
| 20 | least annually, unclassified information, includ-        |
| 21 | ing counterintelligence information, on threats          |
| 22 | from undue foreign influences.                           |
| 23 | (b) Rule of Construction.—Nothing in this sec-           |
| 24 | tion shall be construed as authorizing the official des- |
| 25 | ignated under subsection (a)(1) to classify academic re- |

| 1  | search in a manner that is inconsistent with the policies    |
|----|--|
| 2  | of the Department of Defense or the National Security        |
| 3  | Decision Directive Numbered 189 of September 21, 1985,       |
| 4  | titled "National Policy on the Transfer of Scientific, Tech- |
| 5  | nical and Engineering Information", or any successor di-     |
| 6  | rective.   |
| 7  | SEC. 3. NATIONAL COMMISSION ON ACADEMIC RESEARCH             |
| 8  | PROTECTION.  |
| 9  | (a) Establishment.—There is established in the ex-           |
| 10 | ecutive branch an independent commission, to be known        |
| 11 | as the "National Commission on Academic Research Pro-        |
| 12 | tection" (in this section referred to as the "Commission"),  |
| 13 | to address concerns related to undue foreign influence at    |
| 14 | institutions of higher education conducting federally-fund-  |
| 15 | ed research and development.                                 |
| 16 | (b) Treatment.—The Commission shall be consid-               |
| 17 | ered an independent establishment (as defined in section     |
| 18 | 104 of title 5, United States Code).                         |
| 19 | (c) Membership.—   |
| 20 | (1) Composition.—The Commission shall be                     |
| 21 | composed of 30 members, as follows:                          |
| 22 | (A) The Director of the Office of Science                    |
| 23 | and Technology.  |
| 24 | (B) The National Security Advisor (or a                      |
| 25 | designee).   |

| 1  | (C) The Director of National Intelligence   |
|----|---|
| 2  | (or a designee).                            |
| 3  | (D) The Director of the National Science    |
| 4  | Foundation (or a designee).                 |
| 5  | (E) The Secretary of Commerce (or a des-    |
| 6  | ignee).                                     |
| 7  | (F) The Secretary of State (or a designee). |
| 8  | (G) The Secretary of Defense (or a des-     |
| 9  | ignee).                                     |
| 10 | (H) The Secretary of Homeland Security      |
| 11 | (or a designee).                            |
| 12 | (I) The Secretary of Health and Human       |
| 13 | Services (or a designee).                   |
| 14 | (J) The Secretary of Energy (or a des-      |
| 15 | ignee).                                     |
| 16 | (K) The Secretary of Education (or a des-   |
| 17 | ignee).                                     |
| 18 | (L) The Secretary of Agriculture (or a des- |
| 19 | ignee).                                     |
| 20 | (M) The Administrator of the National       |
| 21 | Aeronautics and Space Administration (or a  |
| 22 | designee).                                  |
| 23 | (N) The Archivist of the National Archives  |
| 24 | and Records Administration (or a designee). |
| 25 | (O) The Attorney General (or a designee).   |

| 1  | (P) 12 individuals who are employed by in-        |
|----|---|
| 2  | stitutions of higher education and have dem-      |
| 3  | onstrated expertise relevant to the duties of the |
| 4  | Commission described in subsection (e).           |
| 5  | (Q) 3 individuals who are employed by or-         |
| 6  | ganizations representing institutions of higher   |
| 7  | education and have expertise relevant to the du-  |
| 8  | ties of the Commission described in subsection    |
| 9  | (e).  |
| 10 | (2) Appointments.—                                |
| 11 | (A) House of representatives ap-                  |
| 12 | POINTMENTS.—                                      |
| 13 | (i) IN GENERAL.—The Speaker of the                |
| 14 | House of Representatives and the minority         |
| 15 | leader of the House of Representatives            |
| 16 | shall jointly appoint 6 of the members de-        |
| 17 | scribed in paragraph (1)(P).                      |
| 18 | (ii) Consultation.—The Speaker of                 |
| 19 | the House of Representatives and the mi-          |
| 20 | nority leader of the House of Representa-         |
| 21 | tives shall make the appointments de-             |
| 22 | scribed in clause (i) in consultation with        |
| 23 | the Chairman and Ranking Member of                |
| 24 | each of—  |

| 1  | (I) the Committee on Agriculture       |
|----|--|
| 2  | of the House of Representatives;       |
| 3  | (II) the Committee on Appropria-       |
| 4  | tions of the House of Representatives; |
| 5  | (III) the Committee on Armed           |
| 6  | Services of the House of Representa-   |
| 7  | tives;                                 |
| 8  | (IV) the Committee on Education        |
| 9  | and Labor of the House of Represent-   |
| 10 | atives;                                |
| 11 | (V) the Committee on Energy            |
| 12 | and Commerce of the House of Rep-      |
| 13 | resentatives;                          |
| 14 | (VI) the Committee on Foreign          |
| 15 | Affairs of the House of Representa-    |
| 16 | tives;                                 |
| 17 | (VII) the Committee on Home-           |
| 18 | land Security of the House of Rep-     |
| 19 | resentatives;                          |
| 20 | (VIII) the Committee on the Ju-        |
| 21 | diciary of the House of Representa-    |
| 22 | tives;                                 |
| 23 | (IX) the Committee on Science,         |
| 24 | Space, and Technology of the House     |
| 25 | of Representatives; and                |

| 1  | (X) the Permanent Select Com-               |
|----|---|
| 2  | mittee on Intelligence of the House of      |
| 3  | Representatives.                            |
| 4  | (B) Senate appointments.—                   |
| 5  | (i) IN GENERAL.—The majority leader         |
| 6  | of the Senate and the minority leader of    |
| 7  | the Senate shall jointly appoint 6 of the   |
| 8  | members described in paragraph (1)(P).      |
| 9  | (ii) Consultation.—The majority             |
| 10 | leader of the Senate and the minority lead- |
| 11 | er of the Senate shall make the appoint-    |
| 12 | ments described in clause (i) in consulta-  |
| 13 | tion with the Chairman and Ranking Mem-     |
| 14 | ber of each of—                             |
| 15 | (I) the Committee on Agri-                  |
| 16 | culture, Nutrition, and Forestry of         |
| 17 | the Senate;                                 |
| 18 | (II) the Committee on Appropria-            |
| 19 | tions of the Senate;                        |
| 20 | (III) the Committee on Armed                |
| 21 | Services of the Senate;                     |
| 22 | (IV) the Committee on Com-                  |
| 23 | merce, Science, and Transportation of       |
| 24 | the Senate;                                 |

| 1  | (V) the Committee on Foreign                      |
|----|---|
| 2  | Relations of the Senate;                          |
| 3  | (VI) the Committee on Health,                     |
| 4  | Education, Labor, and Pensions of                 |
| 5  | the Senate;                                       |
| 6  | (VII) the Committee on Home-                      |
| 7  | land Security and Governmental Af-                |
| 8  | fairs of the Senate;                              |
| 9  | (VIII) the Committee on the Ju-                   |
| 10 | diciary of the Senate; and                        |
| 11 | (IX) the Select Committee on In-                  |
| 12 | telligence of the Senate.                         |
| 13 | (C) Joint congressional appoint-                  |
| 14 | MENTS.—The Speaker of the House of Rep-           |
| 15 | resentatives, the minority leader of the House    |
| 16 | of Representatives, the majority leader of the    |
| 17 | Senate, and the minority leader of the Senate     |
| 18 | shall jointly appoint the members described in    |
| 19 | paragraph $(1)(Q)$ .                              |
| 20 | (D) CLEARANCE.—To be eligible to be ap-           |
| 21 | pointed as a member of, or employed by, the       |
| 22 | Commission, an individual must possess a secu-    |
| 23 | rity clearance that the Secretary of Defense de-  |
| 24 | termines sufficient to afford such individual ac- |
| 25 | cess to the information necessary to carry out    |

| 1  | the responsibilities of a member or the position          |
|----|---|
| 2  | in which such individual will be employed, as             |
| 3  | applicable.   |
| 4  | (3) Terms.—Each member appointed under                    |
| 5  | paragraph (2) shall be appointed to a term of 4           |
| 6  | years.  |
| 7  | (4) Vacancies.—A vacancy in the Commission                |
| 8  | shall not affect its powers and shall be filled in the    |
| 9  | same manner as the original appointment was made.         |
| 10 | (5) Officers.—  |
| 11 | (A) CHAIR.—The members shall elect by                     |
| 12 | majority vote one of the members described in             |
| 13 | subparagraphs (P) or (Q) of paragraph (1) to              |
| 14 | serve as the Chair.                                       |
| 15 | (B) VICE CHAIR.—The members shall elect                   |
| 16 | by majority vote one of the members described             |
| 17 | in subparagraphs (A) through (O) of paragraph             |
| 18 | (1) to serve as the Vice Chair.                           |
| 19 | (d) Subcommittees.—The Chair, in consultation             |
| 20 | with the Vice Chair, may establish subcommittees to focus |
| 21 | on particular topics that are of interest to the Commis-  |
| 22 | sion.   |
| 23 | (e) Duties.—The responsibilities of the Commission        |
| 24 | shall include the following:                              |

| 1  | (1) The Commission shall serve as the forum             |
|----|---|
| 2  | for communication between institutions of higher        |
| 3  | education, Federal law enforcement agencies, Fed-       |
| 4  | eral counterintelligence agencies, and the intelligence |
| 5  | community regarding undue foreign influence on,         |
| 6  | and threats to, federally-funded academic research      |
| 7  | and development.  |
| 8  | (2) Not later than six months after the date of         |
| 9  | the enactment of this Act, and not less than once       |
| 10 | every six months thereafter, the Commission shall       |
| 11 | meet to review the intelligence regarding—              |
| 12 | (A) undue foreign influence on, and                     |
| 13 | threats to, federally-funded academic research          |
| 14 | and development;  |
| 15 | (B) efforts by foreign entities to recruit              |
| 16 | students or employees of institutions of higher         |
| 17 | education;  |
| 18 | (C) specific technologies relevant to institu-          |
| 19 | tions of higher education that foreign entities         |
| 20 | may seek to exploit; and                                |
| 21 | (D) national security and research security             |
| 22 | issues related to foreign students attending in-        |
| 23 | stitutions of higher education in the United            |
| 24 | States.   |

| 1  | (3) The Commission shall develop, and update           |
|----|--|
| 2  | as warranted, methods for educating the academic       |
| 3  | community in the United States, including faculty      |
| 4  | and researchers, in an unclassified manner about       |
| 5  | undue foreign influence on, and threats to, federally- |
| 6  | funded academic research and development.              |
| 7  | (4) The Commission shall develop, and update           |
| 8  | as warranted, recommendations to facilitate the co-    |
| 9  | ordination of Federal agency outreach, education,      |
| 10 | disclosure, and regulatory efforts which affect feder- |
| 11 | ally-funded research and development at institutions   |
| 12 | of higher education.                                   |
| 13 | (5) Not later than one year after the date of          |
| 14 | the enactment of this Act, and annually thereafter,    |
| 15 | the Commission shall review the adequacy of Federal    |
| 16 | disclosure policies for federally-funded academic re-  |
| 17 | search and development for protecting such research    |
| 18 | and development from undue foreign influence and       |
| 19 | threats.   |
| 20 | (6) Not later than one year after the date of          |
| 21 | the enactment of this Act, and annually thereafter,    |
| 22 | the Commission shall review the adequacy of Federal    |
| 23 | export control regulations for protecting federally-   |
|    |  |

funded academic research and development that is

24

1 subject to such regulations from undue foreign influ-2 ence and threats. 3 (7) Not later than one year after the date of the enactment of this Act, and annually thereafter, 5 the Commission shall submit to the President and 6 Congress in an unclassified form, but which may contain a classified annex, a report containing rec-7 8 ommendations on protecting federally-funded aca-9 demic research from undue foreign influence and 10 threats while maintaining an open and collaborative 11 research environment at institutions of higher edu-12 cation. 13 (8) Not later than 30 days after the date on 14 which the Commission submits a report under para-15 graph (7), the Commission shall make such report 16 publicly available in an unclassified form. 17 (9) Any other matters that the Commission de-18 termines to be relevant to protecting federally-fund-19 ed academic research and development from undue 20 foreign influence and threats. 21 (f) OBTAINING OFFICIAL DATA.—On request of the 22 Chair, made in consultation with the Vice Chair, any head 23 of a Federal agency shall furnish directly to the Commission any information necessary to enable the Commission 25 to carry out this section.

| 1  | (g) Authorization of Appropriations.—For fis-             |
|----|---|
| 2  | cal year 2021 and for each fiscal year thereafter, there  |
| 3  | is authorized to be appropriated \$5,000,000, to remain   |
| 4  | available until expended, for the Commission to carry out |
| 5  | its duties.   |
| 6  | (h) TERMINATION.—The Commission shall terminate           |
| 7  | on the date that is 10 years after the date on which the  |
| 8  | Commission conducts the initial meeting described in sub- |
| 9  | section $(e)(2)$ .  |
| 10 | SEC. 4. INITIATIVES TO PROTECT ACADEMIC RESEARCH          |
| 11 | FROM FOREIGN THREATS.                                     |
| 12 | (a) Information Sharing With Institutions of              |
| 13 | HIGHER EDUCATION.—  |
| 14 | (1) IN GENERAL.—Not later than the date that              |
| 15 | is 180 days after the date of the enactment of this       |
| 16 | section, the Director of National Intelligence, in con-   |
| 17 | sultation with the National Commission on Academic        |
| 18 | Research Protection, shall establish an unclassified      |
| 19 | online clearinghouse to consolidate and make pub-         |
| 20 | licly available—  |
| 21 | (A) open source intelligence relating to for-             |
| 22 | eign threats to institutions of higher education          |
| 23 | or the faculty thereof, academic researchers,             |
| 24 | and academic scholars; and                                |

| 1  | (B) guidance and other publications re-                    |
|----|--|
| 2  | garding the foreign threats described in sub-              |
| 3  | paragraph (A) that are issued by Federal agen-             |
| 4  | cies.  |
| 5  | (2) Intelligence classification.—Intel-                    |
| 6  | ligence routinely classified or restricted above the       |
| 7  | level of controlled unclassified information may not       |
| 8  | be made available though the clearinghouse de-             |
| 9  | scribed in paragraph (1).                                  |
| 10 | (b) International Traffic in Arms Regulation               |
| 11 | GUIDANCE.—Beginning not later than the date that is        |
| 12 | 180 days after the date of the enactment of this section,  |
| 13 | the Secretary of State, in coordination with the Secretary |
| 14 | of Commerce, and in consultation with the National Com-    |
| 15 | mission on Academic Research Protection and the Export     |
| 16 | Enforcement Coordination Center, shall—                    |
| 17 | (1) develop, issue, and regularly update export            |
| 18 | control guidance for institutions of higher education      |
| 19 | on compliance with the International Traffic in            |
| 20 | Arms Regulations, including guidance, support docu-        |
| 21 | mentation, and training materials encouraging and          |
| 22 | assisting such institutions to perform periodic inter-     |
| 23 | nal assessments of the risk of not complying with          |
| 24 | such regulations; and                                      |

| 1  | (2) at least annually, conduct outreach activi-           |
|----|---|
| 2  | ties exclusively for the academic community that          |
| 3  | promote understanding and compliance with the             |
| 4  | International Traffic Arms Regulations.                   |
| 5  | (c) Export Administration Regulation Guid-                |
| 6  | ANCE.—Beginning not later than the date that is 180 days  |
| 7  | after the date of the enactment of this section, the Sec- |
| 8  | retary of Commerce, in coordination with the Secretary    |
| 9  | of State, and in consultation with the National Commis-   |
| 10 | sion on Academic Research Protection and the Export En-   |
| 11 | forcement Coordination Center, shall—                     |
| 12 | (1) develop, issue, and regularly update export           |
| 13 | control guidance for institutions of higher education     |
| 14 | on compliance with the Export Administration Regu-        |
| 15 | lations, including guidance, support documentation,       |
| 16 | and training materials encouraging and assisting          |
| 17 | such institutions to perform periodic internal assess-    |
| 18 | ments of the risk of not complying with such regula-      |
| 19 | tions; and  |
| 20 | (2) at least annually, conduct outreach activi-           |
| 21 | ties exclusively for the academic community that          |
| 22 | promote understanding and compliance with the Ex-         |
| 23 | port Administration Regulations.                          |
| 24 | (d) Federal Bureau of Investigation Informa-              |
| 25 | TION OUTREACH.—   |

| 1  | (1) In general.—Not later than the date that          |
|----|---|
| 2  | is 180 days after the date of the enactment of this   |
| 3  | section, the Director of the Federal Bureau of Inves- |
| 4  | tigation, in consultation with the National Commis-   |
| 5  | sion on Academic Research Protection, shall develop   |
| 6  | and implement in the headquarters and all of the      |
| 7  | field offices of the Bureau an outreach strategy to   |
| 8  | inform institutions of higher education about the     |
| 9  | availability of information on threats against such   |
| 10 | institutions, including information about threats to  |
| 11 | federally-funded research and development carried     |
| 12 | out by such institutions.                             |
| 13 | (2) Report.—Not later than one year after the         |
| 14 | date of the enactment of this section, and annually   |
| 15 | thereafter, the Director of the Federal Bureau of In- |
| 16 | vestigation shall issue, and make publicly available  |
| 17 | on a website, a report on the outreach strategy de-   |
| 18 | scribed in paragraph (1).                             |
| 19 | SEC. 5. DEFINITIONS.                                  |
| 20 | In this Act:  |
| 21 | (1) Export administration regulations.—               |
| 22 | The term "Export Administration Regulations"          |
| 23 | means those regulations contained in parts 730        |
| 24 | through 774 of title 15, Code of Federal Regulations  |
| 25 | (or successor regulations).                           |

| 1  | (2) Export enforcement coordination                    |
|----|--|
| 2  | CENTER.—The term "Export Enforcement Coordi-           |
| 3  | nation Center" means the organization established      |
| 4  | under Executive Order 13558, titled "Export En-        |
| 5  | forcement Coordination Center" (75 Fed. Reg.           |
| 6  | 69573).  |
| 7  | (3) FEDERAL AGENCY.—The term "Federal                  |
| 8  | agency" has the meaning given the term "agency"        |
| 9  | in section 551 of title 5, Unite States Code.          |
| 10 | (4) FEDERAL REGULATORY AGENCIES.—The                   |
| 11 | term "Federal regulatory agencies" means the De-       |
| 12 | partment of Defense, the Department of Commerce        |
| 13 | the Department of State, the Department of Justice     |
| 14 | the Department of Energy, the Department of the        |
| 15 | Treasury, the Department of Homeland Security,         |
| 16 | and the National Archives and Records Administra-      |
| 17 | tion.  |
| 18 | (5) FEDERAL SCIENCE AGENCIES.—The term                 |
| 19 | "Federal science agencies" means each Federal          |
| 20 | agency that obligated or expended not less than        |
| 21 | \$100,000,000 in the previous fiscal year for research |
| 22 | and development.                                       |
| 23 | (6) Institution of higher education.—The               |
| 24 | term "institution of higher education" has the         |

| 1  | meaning given such term in section 101 of the High- |
|----|---|
| 2  | er Education Act of 1965 (20 U.S.C. 1001).          |
| 3  | (7) Intelligence community.—The term                |
| 4  | "intelligence community" has the meaning given      |
| 5  | such term in section 3 of the National Security Act |
| 6  | of 1947 (50 U.S.C. 3003).                           |
| 7  | (8) International traffic in arms regula-           |
| 8  | TIONS.—The term "International Traffic in Arms      |
| 9  | Regulations" means those regulations contained in   |
| 10 | parts 120 through 130 of title 22, Code of Federal  |
| 11 | Regulations (or successor regulations).             |